

**BRIEFING CERTIFICATION FOR ADDITIONAL PROJECT PERSONNEL IN THE  
SAFEGUARDING OF EXPORT-CONTROLLED EQUIPMENT, MATERIALS,  
SOFTWARE, TECHNICAL DATA OR TECHNOLOGY**

*Must be read and signed by all users (including PI) prior to access of any export-controlled materials or data*

Project Title:      Click or tap here to enter text.

PI Name:            Click or tap here to enter text.

Participant  
Name:              Click or tap here to enter text.

Sponsor:          Click or tap here to enter text.

**Export Control Compliance:**

A Visual Compliance Screening was conducted on the above named individual on:

Date: \_\_\_\_\_ Signature \_\_\_\_\_.

**Approved**     **Disapproved**

**Statement**

I understand that my participation on the research project(s) listed may involve the receipt or use of export-controlled technology, items, software or technical data, and that it is unlawful to transfer, send or take export-controlled materials or technology out of the United States. Furthermore, I understand that I may not disclose, orally or visually, or transfer by any means, export-controlled technology or technical data to a non-U.S. person located inside or outside the U.S. without a license or applicable exemption as determined by NDSU's Export Control Administrator.

The export controlled materials or technology of this project may not be exported to:

- Foreign countries and/or any foreign person, unless the University either obtains a license or determines that an exemption applies and the University informs me of the same.
- Any and all embargoed destinations designated by the Office of Foreign Assets Control <http://www.treasury.gov/resource-center/sanctions/Programs/Pages/Programs.aspx>
- Anyone found on the Specially Designated Nationals (SDN) list <http://www.treasury.gov/resource-center/sanctions/SDN-List/Pages/default.aspx>
- Proscribed countries or their citizens located in the United States as listed in 126.1 of the ITAR (if applicable) [https://www.pmdotc.state.gov/regulations\\_laws/documents/official\\_itar/ITAR\\_Part\\_126.pdf](https://www.pmdotc.state.gov/regulations_laws/documents/official_itar/ITAR_Part_126.pdf)
- Any person or entity on the Denied Entity List, if EAR is applicable. <http://www.bis.doc.gov/entities/default.htm>

The Export Control Administrator ([NDSU.ExportControls@ndsu.edu](mailto:NDSU.ExportControls@ndsu.edu) or 1-6455) can assist with the screenings listed above.

**Responsibilities and Potential Penalties**

Researchers may be held personally liable for civil/criminal violations of the U.S. export control regulations. The penalties for unlawful export and disclosure of export controlled information under the various export control regulations can result in civil fines in excess of \$1,000,000 and criminal penalties of up to \$250,000 in fines and/or up to 10 years in prison.

PI Initials/Date

Export Control Initials/Date

\_\_\_\_\_

\_\_\_\_\_

**BRIEFING CERTIFICATION FOR ADDITIONAL PROJECT PERSONNEL IN THE  
SAFEGUARDING OF EXPORT-CONTROLLED EQUIPMENT, MATERIALS,  
SOFTWARE, TECHNICAL DATA OR TECHNOLOGY**

*Must be read and signed by all users (including PI) prior to access of any export-controlled materials or data*

As a result, you should have a clear understanding of the requirements, and exercise reasonable care in using and sharing export-controlled information, technology, software, or items with others. This TCP has been developed to help you assess, address, and understand your export control obligations, as well as to control access to the export-controlled aspects of this project.

**Certification**

I hereby certify that I have read and understand this Certification. I understand and agree to follow the procedures outlined in the TCP and that I could be held personally liable if I unlawfully disclose (regardless of form or format) *Export Controlled equipment, technology or information* to unauthorized persons. I agree to address any questions I have regarding the designation, protection or use of *Export Controlled equipment, technology or information* to the NDSU Export Control Administrator.

---

Signature

---

Date

---

Printed Name

PI Initials/Date

Export Control Initials/Date